

Insuficiencia Cardíaca: una Enfermedad Maligna Conclusiones del Estudio REFERENCE

Heart Failure a Malignant Disease- Insights from the REFERENCE Study

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ABSTRACT

Aims: Heart failure (HF) short-term prognosis persists poor. We studied the rate of short-term readmission due to HF, short-term all-cause mortality and end of follow-up all-cause mortality.

Material and Methods: We assessed patients admitted with acute HF in class III or IV of NYHA. Univariate Cox proportional hazard model was performed. Survival curves were plotted using the Kaplan-Meier method and compared with the log-rank test for readmission days post-discharge.

Results: We followed 65 patients for a median of 13.7 (Q1-Q3 6.7-18.9) months. The 30-day post-discharge readmission rate was 13.8%, the 90-day post-discharge readmission percentage was 33.8% and year readmission rate 61.5%. The 30-day mortality rate was 10.8% and 90-day mortality was 18.5%. Year mortality rate was 36.9% and 40% of the patients were deceased by the end of the follow-up. Length of stay (LOS) correlated with short-term readmission in the general population (HR: 1.022, 95% CI: 1.009-1.036, P-value<0.001) and in Heart Failure with Reduced Ejection Fraction patients (HF rEF) (HR: 1.029, 95% CI: 1.008-1.050, P-value=0.006). The number of hospitalizations correlated with short-term readmission in the general population (HR: 1.543, 95% CI: 1.224-1.945, P-value<0.001) and in the Heart Failure with Mid-Range Ejection Fraction subgroup (HFmrEF) (HR: 2.814, 95% CI: 1.075-7.365, P-value=0.035). In the Heart Failure with Preserved Ejection Fraction (HFpEF) subgroup both the LOS per specific admission (HR: 1.063, 95% CI: 1.006-1.123, P value=0.030) and the accumulated LOS for all admissions (HR: 1.051, 95% CI: 1.008-1.095, P value=0.019) were associated with end of follow-up mortality.

Conclusions: Our findings corroborate the assumption that HF has a poor short-term prognosis. Patients hospitalized longer or repeatedly face a worse outcome.

Key words: Acute decompensated heart failure; Prognosis; Short-term readmission; Short-term mortality.

INTRODUCTION

Ageing of the population due to the prolongation of life span inherent to the improvement in health care, has led to a parallel increase in the rate of chronic heart failure (CHF), making this disease a major and growing public health problem.

These patients have high morbidity and mortality rates due to the fact that cardiac insufficiency per se evolves inexorably, and that it affects an elder and frail population, suffering from multiple comorbidities, polymedicated and often socio-economically vulnerable.

Heart failure has an estimated rate of 1 to 2% in the adult population¹, representing the leading cause of admission in Europe and in the United States of America, accounting for 1 to 2% of all hospitalizations².

The EPICA³ trial, dating from 2002, is the only Portuguese HF prevalence study, thus, given the substantial social, economic and

RESUMEN

Introducción: La insuficiencia cardíaca (IC) tiene un mal pronóstico a corto plazo. Estudiamos las tasas de reingreso precoz por IC, mortalidad global precoz y mortalidad global al final del seguimiento.

Material y métodos: Evaluamos a enfermos ingresados por IC descompensada en clase III o IV de la NYHA. Se utilizó el modelo de riesgo proporcional de Univariate Cox. Se aplicó el método de Kaplan-Meier para obtener curvas de supervivencia para días de reingreso pós-alta e se comparó al log-rank test.

Resultados: La mediana de seguimiento de los 65 enfermos fue de 13.7 (Q1-Q3 6.7-18.9) meses. La tasa de reingreso a los 30 días del alta fue del 13.8%, a los 90 días del alta fue del 33.8% y la tasa anual fue del 61.5%. La mortalidad a los 30 días del alta fue del 10.8% y del 18.5% a los 90 días. La mortalidad anual fue del 36.9% y al final del seguimiento del 40%. La duración del ingreso se correlacionó con el reingreso precoz en la población general (HR: 1.022, 95% CI: 1.009-1.036, P-value<0.001) y en el subgrupo con fracción de eyección reducida (HR: 1.029, 95% CI: 1.008-1.050, P-value=0.006). El número de ingresos fue un marcador de mal pronóstico para el reingreso precoz en la población general (HR: 1.543, 95% CI: 1.224-1.945, P-value<0.001) y en el subgrupo con fracción de eyección intermedia (HR: 2.814, 95% CI: 1.075-7.365, P-value=0.035). En el subgrupo con fracción de eyección preservada la duración de ingreso por hospitalización (HR: 1.063, 95% CI: 1.006-1.123, P value=0.030) y la duración de ingreso acumulada de todas las hospitalizaciones (HR: 1.051, 95% CI: 1.008-1.095, P-value=0.019) se correlacionó con la mortalidad al final del seguimiento.

Conclusiones: Nuestros resultados corroboran el concepto de que el pronóstico a corto plazo de la IC es malo.

Los pacientes con hospitalizaciones prolongadas o con múltiples hospitalizaciones tuvieron peor pronóstico.

Palabras clave: Insuficiencia cardíaca descompensada; Pronóstico; Readmisión precoz; Mortalidad precoz.

cultural changes in our country in the past two decades it is crucial to understand the new trends of this overwhelming syndrome.

The real prevalence of HF in Portugal is unknown; additionally the exact number of hospitalizations due to HF is also ignored.⁴Therefore, faith is deposited in the prevalence study PORTHOS⁵ to answer these paramount questions.

Based on the 2011 Portuguese Census, there is an estimated 380000 HF patients in Portugal⁶ and it is estimated that this population will reach half a million individuals in 2035.⁴In 2014, HF represented a national economic burden of about 400 million euros and it is estimated that in 2036 this cypher will ascend to 500 million euros.⁷Notably, admissions are the main responsible for such expenditure.⁷

From 2004 to 2012 the number of HF admissions in Portugal increased 33% and the readmission rate, especially at 30 (14.6%) and 60 days, also rose.⁸

Early readmissions are mainly related with volume overload, while later rehospitalizations are the consequence of the inevitable progression of this syndrome, which is intrinsically linked to cardiac remodeling.²

Patients with HF have a readmission and mortality rate at 90 days of discharge, respectively 8 and 11 times higher than the general population.⁹

Up to 30% of patients with HF are readmitted in the first 60 to 90 days after hospital discharge.¹⁰ Adding to this matter, is the mortality rate 60 to 90 day post-discharge of about 15%.¹¹

Due to its poor outcome, HF could be considered a “malignant disease”.¹²

Bearing in mind that it urges to define short-term prognosis for HF patients, in order to reduce the readmission and premature mortality rates, we performed a prospective cohort study to characterize short-term outcome.

Furthermore, despite the socio-economic relevance that the characterization and acknowledgement of HF short-term prognosis may yield, national trials focusing this topic are scarce.

MATERIAL AND METHODS

Study design and population

For this prospective observational cohort study patients were recruited consecutively for a period of 12 months from an Internal Medicine ward of a tertiary care academic hospital.

Inclusion criteria were age ≥ 18 years old and hospitalization due to chronic decompensated HF in class III or IV of NYHA.

Participants were excluded if they had chronic kidney disease with glomerular filtration rate < 30 ml/min/1.73 m² (calculated with the Modification of Diet in Renal Disease score) or were under renal replacement therapy, moderate or severe hepatic impairment (calculated with the Child-Pugh score), in-hospital death in the first hospitalization, hospital discharge against medical advice or active cancer with or without metastasis.

All patients gave written informed consent.

The study was approved by an Institutional Review Board (Academic Medical Center Ethics Committee) and followed the Declaration of Helsinki and the Oviedo Convention.

Protocol and definitions

The diagnosis of HF followed the European Society of Cardiology (ESC) guidelines.¹

Patient assessment followed a protocol that included clinical history, physical examination, 12-lead ECG, thoracic X-ray, blood sampling for laboratory tests, transthoracic Doppler echocardiography and therapeutic data.

A postero-anterior thoracic X-ray was performed with conventional equipment.

A 12-lead ECG was executed using a 3-channel conventional equipment and all echocardiograms M mode, two-dimensional and Doppler were performed by skilled operator using a Hitachi Aloka alfa 6 Medical device with a 2.5 MHz transducer.

Biochemical parameters were assessed using plasma samples.

Based on the ESC guidelines, HFpEF was defined as left ventricular ejection fraction (LVEF) $\geq 50\%$, HFmrEF was defined as LVEF 40-49% and HFrfEF was classified as LVEF $< 40\%$.¹

Treatment was optimized in conformity with the ESC recommendations.¹

Follow-up was carried out through medical records, discharge reports, death certificates, medical appointments and telephone interviews.

Outcomes

The outcomes for this study were short-term rehospitalization due to HF, short-term all-cause mortality and end of follow-up all-cause mortality.

Short-term rehospitalization was defined as rehospitalization within 90 days of hospital discharge.

Short-term mortality was defined as death occurring within 90 days after hospital discharge.

End of follow-up mortality was defined as death that occurred during the whole study period.

Statistical analysis

Categorical variables were summarized by relative and absolute frequencies and compared using the chi-squared test or Fisher's Exact test.

Continuous variables were summarized by mean, standard deviation, median, first and third quartiles (Q1-Q3). Shapiro-Wilk test was used to evaluate the normality of continuous variables.

Comparisons between patients with or without an event of interest were performed using the t-test or Wilcoxon Rank test.

Short-term rehospitalization, short-term mortality and end of follow-up mortality were considered as stratification variables.

A univariate Cox proportional hazards model was executed to obtain HR and 95% CI for each variable. The proportional hazards assumption was tested using Schoenfeld residuals. All analyses were conducted at an overall significance level of 5%.

Survival curves were plotted using the Kaplan-Meier method and compared with the log-rank test for readmission days post-discharge.

RESULTS

From the 70 selected patients 5 were excluded given that during follow-up they were diagnosed with active neoplasm.

The 65 patients who matched the selection criteria had a median follow-up period of 13.7 (6.7-18.9) months.

The mean age of the patients was 79.2 ± 10.8 years and 56.9% were female.

The mean LVEF was 50.38 ± 19.07 % and HFpEF accounted for more than half of the population (52.3%), against HFmrEF (20%) and HFrfEF (27.7%).

Baseline characteristics of the population study are presented in Table 1.

Descriptive analysis regarding LVEF is depicted in Table 2.

READMISSIONS

We verified that 33.8% of the patients were readmitted within 90 days post-discharge, 13.8% of which in the first 30 days after discharge.

The year readmission percentage was 61.5%.

Table 1. Baseline characteristics

| Characteristics | Patients (n=65) |
|--|-----------------------|
| Age, mean (SD) | 79.2 ± 10.8 |
| Female Gender, n (%) | 37 (56.9) |
| Hypertension, n (%) | 58 (89.2) |
| Admission Systolic Blood Pressure, median | 145.0 (121 - 163) |
| Type 2 Diabetes, n (%) | 25 (38.5) |
| Dyslipidemia, n (%) | 41 (63.1) |
| Obesity, n (%) | 17 (26.2) |
| Atrial Fibrillation, n (%) | 28 (43.1) |
| Ischemic Heart Disease, n (%) | 22 (33.8) |
| Family History of CVD, n (%) | 31 (47.7) |
| Tabagism, n (%) | 21 (32.3) |
| Anemia, n (%) | 38 (58.5) |
| Iron deficiency, n (%) | 30 (46.2) |
| Chronic Kidney Disease, n (%) | 34 (52.3) |
| GFR (Baseline), median | 57.8 (43.8 - 82.2) |
| GFR (Admission), median | 47.9 (33.2 - 68.1) |
| LVEF, mean (SD) | 50.38 ± 19.07 |
| NYHA class III, n (%) | 43 (66.2) |
| ACE Inhibitor, n (%) | 43 (66.2) |
| Beta Blocker, n (%) | 38 (58.5) |
| Mineralocorticoid Receptor Antagonists n (%) | 19 (29.2) |
| Angiotensin II Receptor Blocker, n (%) | 11 (16.9) |
| Loop Diuretic, n (%) | 54 (83.1) |
| Digoxin, n (%) | 8 (12.3) |
| NT-proBNP (Admission), median | 5701.0 (1867 - 11961) |
| NT-proBNP (Discharge), median | 2837.0 (520 - 5085) |

Values are median (IQR), n (%) or mean ± SD.
 IQR: interquartile range and minimum/maximum, SD: standard deviation,
 CVD: cardiovascular disease, GFR: glomerular filtration rate,
 LVEF: left ventricular ejection fraction, NYHA: New York Heart Association,
 ACE: Angiotensin-Converting-Enzyme.

We acknowledged that the mean hospital stay was 8.3 days.

The number of hospitalizations was related with short-term re-admission in the general population study (HR: 1.543, 95% CI: 1.224-1.945, P- value<0.001) and in the HFmrEF subgroup (HR: 2.814, 95% CI: 1.075-7.365, P- value=0.035), and expressed a trend towards greater risk in the HFpEF subgroup (HR: 1.391, 95% CI: 0.989-1.956, P- value=0.058, Table 3).

The accumulated LOS for all admissions represented a risk factor for short-term readmission in the general population study (HR: 1.022, 95% CI: 1.009-1.036, P-value<0.001, Table 3).

In those suffering from HFrfEF short-term readmission risk, related to the accumulated LOS, was slightly superior to that of the general population study (HR: 1.029, 95% CI: 1.008-1.050, P-value=0.006, Table 3). For the same subgroup a trend towards the LOS for a given hospitalization and short-term readmission was met (HR: 1.173, 95% CI: 0.985-1.395, P-value=0.073, Table 3).

MORTALITY

The 30-day mortality rate was 10.8% and the 90-day mortality rate was 18.5%. Kaplan-Meier curves regarding 30-days post-discharge readmission and 90-days post-discharge readmission are depicted in Figure 1 and Figure 2.

Figure 1. Plot of Kaplan-Meier product limit estimates of survival of heart failure patients according to hospital readmission rate.

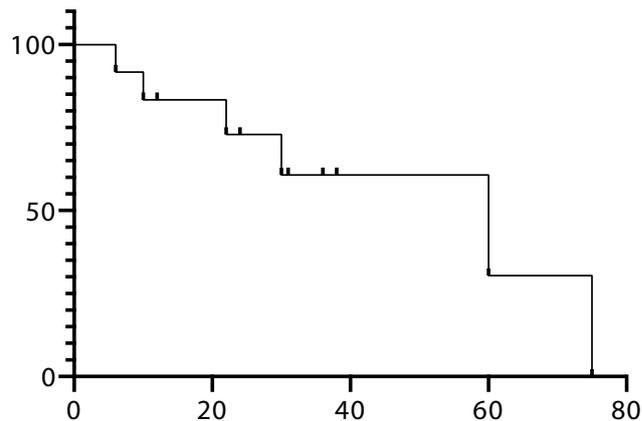


Table 2. Descriptive analysis regarding LVEF

| LVEF, n (%) | Short-term rehospitalization | | Short-term mortality | | End of follow-up mortality | |
|-------------------|------------------------------|---------------|----------------------|---------------|----------------------------|----------------|
| | No (N = 43) | Yes (N = 22) | No (N = 54) | Yes (N = 11) | No (N = 38) | Yes (N = 27) |
| Preserved (> 49%) | 24 (55.8) [43] | 11 (50) [22] | 31 (57.4) [54] | 6 (54.5) [11] | 23 (60.5) [38] | 12 (44.4) [27] |
| Midrange (40-49%) | 9 (20.9) [43] | 4 (18.2) [22] | 10 (18.5) [54] | 2 (18.2) [11] | 6 (15.8) [38] | 7 (25.9) [27] |
| Reduced (< 40%) | 10 (23.3) [43] | 7 (31.8) [22] | 13 (24.1) [54] | 3 (27.3) [11] | 9 (23.7) [38] | 8 (29.6) [27] |

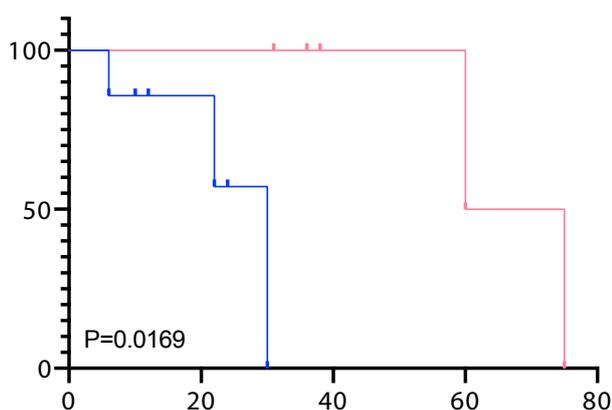
LVEF: left ventricular ejection fraction. N: number of subjects included in the study, [n]: number of subjects with available data.

Table 3. Crude survival analysis for the study population

| Characteristics | Short-termrehospitalization | Short-termmortality | End of follow-up mortality |
|----------------------------------|-----------------------------|------------------------|----------------------------|
| | HR (95% CI) | HR (95% CI) | HR (95% CI) |
| General Population | | | |
| Length of Stay, days | 0.982 (0.928-1.039) | 1.009 (0.950-1.071) | 1.011 (0.974-1.049) |
| Accumulated Length of Stay, days | 1.022 (1.009-1.036)*** | 1.016 (0.999-1.032) | 1.009 (0.996-1.022) |
| Number of Hospitalizations | 1.543 (1.224-1.945)*** | 1.244 (0.831-1.862) | 1.062 (0.777- 1.453) |
| HFpEF | | | |
| Length of Stay, days | 0.941 (0.832-1.063) | 1.025 (0.912-1.153) | 1.063 (1.006-1.123)* |
| Accumulated Length of Stay, days | 1.039 (0.990-1.091) | 0.980 (0.865-1.109) | 1.051 (1.008-1.095)* |
| Number of Hospitalizations | 1.391 (0.989-1.956) | NC | 0.865 (0.486-0.620) |
| HFmrEF | | | |
| Length of Stay, days | 0.986 (0.903-1.077) | 0.969 (0.820-1.145) | 0.956 (0.859-1.065) |
| Accumulated Length of Stay, days | 1.008 (0.944-1.076) | 0.932 (0.737-1.179) | 0.882 (0.740-1.052) |
| Number of Hospitalizations | 2.814 (1.075-7.365)* | NC | NC |
| HFrEF | | | |
| Length of Stay, days | 1.173 (0.985-1.395) | 1.080 (0.868-1.345) | 0.994 (0.838-1.178) |
| Accumulated Length of Stay, days | 1.029 (1.008-1.050)** | 1.007 (0.980-1.034) | 1.006 (0.990-1.022) |
| Number of Hospitalizations | 1.560 (0.997-2.442) | 1.062 (0.379-2.977) | 1.506 (0.994-2.283) |

p-value: * - < 0.05; ** - < 0.01; *** - < 0.001 / NC, not calculable due to small number of cases

Figure 2. Kaplan-Meier curves in heart failure patients according to 30-days post-discharge readmission and 90-days post-discharge readmission.



The year mortality was 36.9% and 40% of the population studied died by the end of follow-up.

A trend towards the accumulated LOS for all admissions and short-term mortality (HR: 1.016, 95% CI: 0.999-1.032, P value=0.062, Table 3), was identified in the general population study.

A trend towards the number of hospitalizations and end of follow-up mortality was found in the HFrEF subgroup (HR: 1.506, 95% CI: 0.994-2.283, P value=0.054, Table 3).

In the HFpEF subgroup both the LOS per specific admission (HR: 1.063, 95% CI: 1.006-1.123, P value=0.030) and the accumulated LOS for all admissions (HR: 1.051, 95% CI: 1.008-1.095, P value=0.019, Table 3) were markers of worse end of follow-up survival.

DISCUSSION

The characteristics of our population study mimic that of the Portuguese study PRECIC¹³. The aforementioned study observed that HF patients admitted to Internal Medicine departments are elderly, predominantly female and suffer from several comorbidities of which hypertension is, in line with its prevalence, the most frequent. Similarly, ischemic heart disease was the second most prevalent etiology, accounting for around one third of the cases.¹³

Notwithstanding the intrinsic severity of the HF syndrome and the undisputed role of lower LVEF, the burden of comorbidities and older age are, as well, important predictors of impaired outcome.¹⁴ Importantly, the cited Portuguese study also verified a predominance of HFpEF patients.¹³

Such finding is in syntony with the evolutionary pattern of the HF syndrome, since the prevalence of diastolic dysfunction is rising, and currently superior to that of systolic dysfunction, while HFrEF prevalence appears to have declined in the last century.¹⁵

Moreover, HFpEF affects more frequently women, obese and the elderly, compared to HFrEF and the underlying etiology is, customarily, systemic hypertension.¹⁶

A Spanish study that addressed HFpEF patients acknowledged that hypertensive heart disease was, also, the most common cause of HF. The authors recognized that such population is typically elderly and have a significant burden of comorbidities. This clinical background determines high readmission and mortality rates.¹⁷

Another Spanish trial, named PREDICE, also found a population similar to ours; predominantly female, elderly and suffering from a great amount of diseases.¹⁸

Our study was able to confirm the high early readmission rate of HF patients, as 33.8% of the population study was rehospitalized within 90 days post-discharge, which is in agreement with several studies.¹⁰ Remarkably, 15.4% of the patients were rehospitalized in the first month post-discharge, a rate close to that reported in a large scale series.¹⁹

The EPICA investigators found that two-thirds of CHF patients are hospitalized, in average, twice in a year.³ The year readmission percentage of 61.5% makes proof of the severity of the clinical cases that we enrolled.

The 30 day mortality rate was 10.8% similar to the 11.75% mentioned in the MOCATrial²⁰ and the 90 day mortality rate of 18.5% was slightly above some series¹¹.

The acknowledged year mortality of 36.9% was superior to that perceived in the PRECIC study (34.3%)¹³ and to that identified in the LT ESC-HF-LT for the same European region (24.8%)¹⁴.

At the end of the follow-up 40% of the patients were deceased. Albeit we excluded patients with active cancer, which have an elevated mortality, the death rate was overwhelming; this reflects the severity of the HF syndrome.

The LOS for HF patients is estimated to be between four to five days in the mild cases and around nine days in the more severe presentations.^{21, 22}

In our study the mean hospital stay was 8.3 days, in line with a Portuguese study that enrolled acute decompensated HF patients in a similar clinical scenario.²³

Furthermore, we recognized that patients hospitalized longer or repeatedly evolved with a worse outcome. Based on these findings,

one can conclude that the requirement for hospitalization is a relevant predictor of impaired prognosis as it reflects disease severity.

Our assumption finds support in an analysis that studied patients with reduced or preserved LVEF from the CHARM program, which established an association between longer hospitalizations and nonfatal admissions with subsequent mortality rates.²⁴

The abovementioned authors also verified an increase in mortality risk after each hospitalization, and the risk was highest after the first month of discharge decreasing progressively over time.²⁴

Reynolds et al., suggested that the LOS could be a proxy for the severity of HF since this parameter was related with readmission and mortality within 30 days and 1 year independently of comorbidities and cardiovascular risk factors.²⁵

We believe that our findings corroborate the premise that HF has a tremendous social and economic impact.

The progression of HF depends on multiple factors, namely its severity per se, its cause, patient's characteristics, therapeutics and follow-up.

As advocated the first 30 to 90 days post-discharge is a critical period for HF patients and should represent an opportunity to change the syndrome's course.

Given its complexity, strategies to improve short-term HF prognosis should encompass the optimization of evidence-based treatment, addressing assertively the etiology of HF and concomitant comorbidities, tight follow-up (a multidisciplinary approach and first and foremost the widespread of Heart Failure Day-Hospitals to stabilize patients with minor decompensations preventing the worsening of the condition and inevitably the admission to ward) and patient education.

Our study has limitations that should be taken into account. Due to the small sample size we did not perform multivariable analysis. Besides, our study was a single-center study which may limit the extrapolation of our conclusions.

Despite these potential limitations, this study reports data from a real-world clinical background and the results are consistent with national data and previously published large scale studies, hence supporting the validity of our findings.

CONCLUSIONS

We acknowledged that the typical HF patient cared for in our department is elderly, belongs to the female gender, has a significant burden of comorbidities and has HFpEF.

Regardless of being, predominantly, an HFpEF population the rate of short-term readmission and mortality was high.

The LOS and hospital readmissions were markers of adverse short-term outcome.

These findings corroborate the assumption that HF patients have an impaired short-term prognosis, irrespective of LFEV.

CONFLICTS OF INTEREST AND SOURCE OF FUNDING

OM Pharma, Alfragide, Portugal funded the study.

Dr. Mário Barbosa was granted a research scholarship by AstraZeneca and receives fees for lectures from Novartis.

ETHICAL ASPECTS

The study followed the criteria of the Helsinki Declaration. All participants submitted a consent form to be included in this study.

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